

Personal Information Collection Statement

Bond Connect Company Limited (“BCCL” or “we” or “us”) recognizes its responsibilities in relation to the collection, holding, processing, use and/or transfer of personal data under applicable data protection laws (“**Applicable Laws**”), including but not limited to Personal Data (Privacy) Ordinance (Cap. 486) (“**PDPO**”). Personal data will be collected only for lawful and relevant purposes and all practicable steps will be taken to ensure that personal data held by us is accurate. We will use your personal data which we may from time to time collect in accordance with this Personal Information Collection Statement.

We regularly review this Personal Information Collection Statement and may from time to time revise it or add specific instructions, policies and terms. To the extent required under Applicable Laws, we will notify you of material changes and provide you the opportunity to opt out by notifying us. However, if you choose not to accept such changes, you may no longer be able to participate in Repo Business through BCCL.

We will take all practicable steps to ensure the security of the personal data and to avoid unauthorised or accidental access, erasure or other use. This includes physical, technical and procedural security methods, where appropriate, to ensure that the personal data may only be accessed by authorised personnel.

Please note that if you do not provide us with your personal data, we may not be able to provide the information, or services that you (or the eligible foreign investor (“Investor”)) have asked for or process your (or the Investor’s) requests, applications or other matters in relation to Repo Business, and we may not be able to perform or discharge the Regulated Functions (as defined below).

Purpose

From time to time we may collect your personal data including but not limited to your name, mailing address, telephone number, email address, date of birth and login name for the following purposes:

1. processing the Investor’s application or other matters in relation to the Repo

Business;

2. performing or discharging our functions as a person registered to provide automated trading services under Part III of the Securities and Futures Ordinance ("**Regulated Functions**");
3. providing you with our services concerning the Repo Business and administer your application or other matters in relation to the Repo Business;
4. researching and/or designing new services;
5. conducting research and statistical analysis;
6. complying with applicable law or any request from any relevant regulator or governmental authority; and
7. other purposes directly relating to any of the above.

Identity Card Number

We may also collect your identity card number and process this as required under applicable law or regulation, as required by any regulator having authority over us and, subject to the data protection laws, for the purposes of identifying you where it is reasonable for your identity card number to be used for this purpose.

Sensitive Personal Data

Some Personal Data that we process is classified as “Sensitive Personal Data” under applicable data protection laws. What is considered Sensitive Personal Data varies by jurisdiction. If we are required to process Sensitive Personal Data, we will only do so in accordance with applicable law, and with your consent to the extent required under applicable data protection laws.

Direct marketing

We do not provide promotional or direct marketing materials to you in your personal capacity. Any such materials are provided to you in your capacity as a relevant individual acting on behalf of an Investor.

Subject to your express consent, we may use your name, mailing address, telephone number and email address to send promotional materials to you and conduct direct marketing activities in relation to Repo Business or any services in that connection. If you do not wish to receive any promotional and direct marketing materials from us or do not wish to receive particular types of promotional and direct marketing materials or do not wish to receive such materials through any particular means of communication, you may withdraw your consent to the use and provision to a third party of your personal data for direct marketing purposes at any time, and thereafter the Company shall, without charge to you, cease to use such data for direct marketing purposes. If you wish to withdraw your consent, please refer to “Contact Us” below.

Transfers Personal Data to third parties or another jurisdiction

We sometimes transfers or discloses Personal Data, including but not limited to, your name, mailing address, phone number and email address to third party organizations and partners (“**affiliates**”) that enable our business, so that we can provide you with products and services, improve our offerings, or comply with applicable laws , and sometimes for the purposes of enabling those affiliates to send promotional materials to you and conduct direct marketing activities in relation to their financial services and information services. The promotional or direct marketing materials will not be provided to you in your personal capacity. Any such materials are provided to you in your capacity as a relevant individual acting on behalf of any of the Entities.

These third-party Personal Data recipients and specific transfer purposes are set out below:

- Our affiliates (including but not limited to People's Bank of China and China Foreign Exchange Trade System (National Interbank Funding Center) (“CFETS”), in Hong Kong or elsewhere and in this regard, you consent to the transfer of your data outside of Hong Kong ;
- Organisations involved in hosting or organising activities for us ;
- Our vendors who provide marketing and advertising support ;

- Our contractors or vendors who provide cloud services, network and infrastructure services, IT security, hardware maintenance, software development and technical support ;
- Our payment service providers and processors ;
- Our vendors who provide strategy or other consultancy services in respect of our businesses ;
- Our agents, contractors or vendors who provide administrative support to us ;
- Our professional service providers such as legal advisors, tax consultants, and accountants ;
- Other parties as notified to you and your consent will be obtained in advance at the time of collection.

To fulfil our legal obligations, we may also share your Personal Data with courts, regulatory authorities, government and law enforcement agencies, and other public authorities.

Further details about these third parties may be provided upon request to the address in “Contact Us” below. We shall endeavor to provide such information to the extent we are required to do so under applicable data protection laws.

The retention period for personal data

Personal Data is retained in accordance with applicable laws and our internal policies.

Your Personal Data will be retained by us for as long as is necessary to fulfil the purposes required for the processing. We will also refer to the following factors when determining or confirming the appropriate retention period of Personal Data:

- the original purpose of collection;
- the termination of any contract involving the Data Subject’s Personal Data;
- the limitation period as defined in the applicable laws;
- the existence of any legal or regulatory investigations or legal proceedings;

- specific laws or regulations setting out BCCL's functions, obligations, and responsibilities;
- retention period set out in non-statutory guidelines issued by our regulators or international bodies;
- the sensitivity of the Personal Data and the degree of risk from the associated processing activity.

Further details of our Personal Data retention period may be obtained upon request to the address in the "Contact Us" below.

Where any Personal Data is no longer necessary for the purposes for which it is collected, we shall cease the processing of that Personal Data as soon as reasonably practicable (although copies may be retained as necessary for archival purposes, for use in any actual or potential dispute, or for compliance with applicable laws), and take reasonable measures to destroy the relevant Personal Data.

How we use cookies

If you access our information or services through the BCCL's website, you should be aware that cookies are used. Cookies are data files stored on your browser. The BCCL's website automatically installs and uses cookies on your browser when you access it. Two kinds of cookies are used on the BCCL's website:

Session Cookies: temporary cookies that only remain in your browser until the time you leave the BCCL's website, which are used to obtain and store configuration information and administer the BCCL's website, including carrying information from one page to another as you browse the site so as to, for example, avoid you having to re-enter information on each page that you visit. Session cookies are also used to compile anonymous statistics about the use of the BCCL's website.

Persistent Cookies: cookies that remain in your browser for a longer period of time for the purpose of compiling anonymous statistics about the use of the BCCL's website or to track and record user preferences.

The cookies used in connection with the BCCL's website do not contain personal data. You may refuse to accept cookies on your browser by modifying the settings in your browser or internet security software. However, if you do so you may not be able to utilise or activate certain functions available on the BCCL's website.

Compliance with laws and regulations

The BCCL may be required to retain, process and/or disclose your personal data in order to comply with applicable laws and regulations or in order to comply with a court order, subpoena or other legal process (whether in Hong Kong or elsewhere), or to comply with a request by a government authority, law enforcement agency or similar body (whether situated in Hong Kong or elsewhere) or to perform or discharge the Regulated Functions. The BCCL may need to disclose your personal data in order to enforce any agreement with you, protect our rights, property or safety, or the rights, property or safety of our employees, or to perform or discharge the Regulated Functions.

Corporate reorganization

As we continue to develop our business, we may reorganize our group structure, undergo a change of control or business combination. In these circumstances it may be the case that your personal data is transferred to a third party who will continue to operate our business or a similar service under either this Personal Information Collection Statement or a different privacy policy statement which will be notified to you. Such a third party may be located, and use of your personal data may be made, outside of Hong Kong in connection with such acquisition or reorganization.

Access and correction of personal data

Under the PDPO, you have the right to ascertain whether we hold your personal data, to obtain a copy of the data, and to correct any data that is inaccurate. You may also request us to inform you of the type of personal data held by us. All data

access requests shall be made using the form prescribed by the Privacy Commissioner for Personal Data ("**Privacy Commissioner**") which may be found on the official website of the Office of the Privacy Commissioner or via this link: <https://www.pcpd.org.hk/english/publications/files/Dforme.pdf>.

Requests for access and correction of personal data or for information regarding policies and practices and kinds of data held by us should be addressed in writing and sent to us by post, please refer to "Contact Us" below. .

A reasonable fee may be charged to offset our administrative and actual costs incurred in complying with your data access requests.

Termination or cancellation

Should the Investor's relationship with the BCCL and/or CFETS be cancelled or terminated at any time, we shall cease processing your personal data as soon as reasonably practicable following such cancellation or termination, provided that we may keep copies of your data as is reasonably required for archival purposes, for use in relation to any actual or potential dispute, for the purpose of compliance with applicable laws and regulations and for the purpose of enforcing any agreement we have with you, for protecting our rights, property or safety, or the rights, property or safety of our employees, and for performing or discharging our functions, obligations and responsibilities.

Rights over the Personal Data

As a summary, the following Data Subject rights may be exercised to the extent provided under applicable data protection laws:

- confirm whether we hold the Data Subject's Personal Data and the type of Personal Data held by us;
- access a copy of the Personal Data held by us;
- delete your Personal Data held by us;
- correct or supplement your Personal Data where it is found to be inaccurate;
- restrict the processing performed on your Personal Data;
- object to our continued use of your Personal Data for direct marketing

purposes, or withdraw consent to the processing of your Personal Data in other situations (e.g. processing carried out on the basis of our legitimate interests);

- transfer the Personal Data to another party in a machine readable format.

In Mainland China, Data Subjects may also be provided with additional rights.

- Explanation on the rules of processing the Personal Data;
- Extension of the Data Subject rights to a surviving next-of-kin where the applicable laws permit;
- Transfer of your Personal Data to your designated party, where the applicable laws permit;
- Cancellation of any platform account that you have with us.

Contact us

If you have any questions about this Personal Information Collection Statement or how we use your personal data, please contact us.

By Post:

General Manager

Bond Connect Company Limited

Address : Unit 1906-1908, 19/F., Two Exchange Square, 8 Connaught Place,
Central, Hong Kong

By Email:

Dataprivacy@chinabondconnect.com